

**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. TAN-2-1403.06.US SERIAL NO. 09/997,733

APPLICANT James A. Proctor, Jr.

FILING DATE November 29, 2001 GROUP 2619

| U.S. PATENT DOCUMENTS |                 |         |                   |       |          |                |
|-----------------------|-----------------|---------|-------------------|-------|----------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE IF |
|                       | 4,107,469       | 08/1978 | Jenkins           |       |          |                |
|                       | 4,577,316       | 03/1986 | Schiff            |       |          |                |
|                       | 4,625,308       | 11/1986 | Kim et al.        |       |          |                |
|                       | 4,675,863       | 06/1987 | Paneth et al.     |       |          |                |
|                       | 4,817,089       | 03/1989 | Paneth et al.     |       |          |                |
|                       | 4,841,526       | 06/1989 | Wilson et al.     |       |          |                |
|                       | 4,862,453       | 08/1989 | West et al.       |       |          |                |
|                       | 4,866,709       | 09/1989 | West et al.       |       |          |                |
|                       | 4,912,705       | 03/1990 | Paneth et al.     |       |          |                |
|                       | 4,949,395       | 08/1990 | Rydbeck           |       |          |                |
|                       | 5,022,024       | 06/1991 | Paneth et al.     |       |          |                |
|                       | 5,027,348       | 06/1991 | Curry             |       |          |                |
|                       | 5,027,400       | 06/1991 | Baji et al.       |       |          |                |
|                       | 5,068,916       | 11/1991 | Harrison et al.   |       |          |                |
|                       | 5,101,416       | 03/1992 | Fenton et al.     |       |          |                |
|                       | 5,103,459       | 04/1992 | Gilhousen et al.  |       |          |                |
|                       | 5,114,375       | 05/1992 | Wellhausen et al. |       |          |                |
|                       | 5,115,309       | 05/1992 | Hang              |       | ļ        |                |
|                       | 5,226,044       | 07/1993 | Gupta et al.      |       |          |                |
|                       | 5,268,900       | 12/1993 | Hluchyj et al.    |       |          |                |
|                       | 5,282,222       | 01/1994 | Fattouche et al.  |       |          |                |
|                       | 5,325,419       | 06/1994 | Connolly et al.   |       |          |                |
|                       | 5,353,332       | 10/1994 | Raith et al.      |       |          |                |
|                       | 5,355,374       | 11/1994 | Hester et al.     |       |          |                |
|                       | 5,373,502       | 12/1994 | Turban            |       |          |                |

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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | APPLICANT<br>James A. Proctor, Jr.   |                          |  |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT        | FILING DATE<br>November 29, 2001     | GROUP<br>2619            |  |
| (Use several sheets if necessary)                       |                                      |                          |  |

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME                       | CLASS    | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|---------|----------------------------|----------|----------|-------------------------------|
|                     | 5,375,124       | 12/1994 | D'Ambrogio, et al.         |          |          |                               |
|                     | 5,388,102       | 02/1995 | Griffith et al.            |          |          |                               |
|                     | 5,394,473       | 02/1995 | Davidson                   | <u> </u> |          |                               |
|                     | 5,412,429       | 05/1995 | Glover                     |          |          |                               |
|                     | 5,414,728       | 05/1995 | Zehavi                     |          |          | _                             |
|                     | 5,442,625       | 08/1995 | Gitlin et al.              |          |          |                               |
|                     | 5,446,727       | 08/1995 | Bruckert et al.            |          |          |                               |
|                     | 5,463,629       | 10/1995 | Ko                         |          |          |                               |
|                     | 5,471,463       | 11/1995 | Hulbert                    |          |          |                               |
|                     | 5,481,533       | 01/1996 | Honig et al.               |          |          |                               |
|                     | 5,490,136       | 02/1996 | Sereno et al.              |          |          |                               |
| ··· <u>-</u>        | 5,511,068       | 04/1996 | Sato                       |          |          |                               |
|                     | 5,559,789       | 09/1996 | Nakano et al.              |          |          |                               |
|                     | 5,581,575       | 12/1996 | Zehavi et al.              |          |          |                               |
|                     | 5,585,850       | 12/1996 | Schwaller                  |          |          |                               |
|                     | 5,586,119       | 12/1996 | Scribano et al.            |          |          |                               |
|                     | 5,590,409       | 12/1996 | Sawahashi et al.           |          |          |                               |
|                     | 5,592,470       | 01/1997 | Rudrapatna et al.          |          |          |                               |
|                     | 5,592,471       | 01/1997 | Briskman                   |          |          |                               |
|                     | 5,598,416       | 01/1997 | Yamada et al.              |          |          |                               |
|                     | 5,606,580       | 02/1997 | Mourot et al. <sup>1</sup> |          |          |                               |
|                     | 5,617,423       | 04/1997 | Li et al.                  |          |          |                               |
|                     | 5,619,492       | 04/1997 | Press et al.               |          |          | ,                             |
|                     | 5,619,524       | 04/1997 | Ling et al.                |          |          |                               |
|                     | 5,642,348       | 06/1997 | Barzegar et al.            |          |          |                               |

| 1 Corresponds to EP 0635949 |                 |
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| examiner<br>Initial | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|---------|--------------------|-------|----------|-------------------------------|
|                     | 5,642,377       | 06/1997 | Chung et al.       |       |          |                               |
|                     | 5,655,001       | 08/1997 | Cline et al.       |       |          |                               |
|                     | 5,657,358       | 08/1997 | Panech et al.      |       |          |                               |
|                     | 5,663,958       | 09/1997 | Ward               |       |          |                               |
|                     | 5,663,990       | 09/1997 | Bolgiano et al.    | _     |          |                               |
|                     | 5,673,259       | 09/1997 | Quick, Jr.         |       |          |                               |
|                     | 5,687,194       | 11/1997 | Paneth et al.      |       |          |                               |
|                     | 5,689,502       | 11/1997 | Scott              |       |          |                               |
|                     | 5,697,059       | 12/1997 | Carney             |       |          |                               |
|                     | 5,699,364       | 12/1997 | Sato et al.        |       |          |                               |
|                     | 5,708,656       | 01/1998 | Noneman et al.     |       |          |                               |
|                     | 5,734,646       | 03/1998 | l et al.           |       |          |                               |
|                     | 5,742,592       | 04/1998 | Scholefield et al. |       |          | <u>.</u>                      |
|                     | 5,745,484       | 04/1998 | Scott              |       |          |                               |
|                     | 5,758,288       | 05/1998 | Dunn et al.        |       |          |                               |
|                     | 5,781,542       | 07/1998 | Tanaka et al.      |       |          |                               |
|                     | 5,781,543       | 07/1998 | Ault et al.        |       |          |                               |
|                     | 5,784,406       | 07/1998 | DeJaco et al.      |       |          |                               |
|                     | 5,790,549       | 08/1998 | Dent               |       |          |                               |
|                     | 5,790,551       | 08/1998 | Chan               |       |          |                               |
|                     | 5,793,744       | 08/1998 | Kanerva et al.     |       |          |                               |
|                     | 5,802,046       | 09/1998 | Scott              |       |          |                               |
|                     | 5,802,465       | 09/1998 | Hamalainen et al.  |       |          |                               |
|                     | 5,812,131       | 09/1998 | Bertram            | _     | ļ        |                               |
|                     | 5,825,807       | 10/1998 | Kumar              |       |          |                               |
|                     | 5,828,659       | 10/1998 | Teder et al.       |       |          | <u></u>                       |

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|---------------------|-----------------|---------|-----------------------|-------|----------|-------------------------------|
|                     | 5,828,662       | 10/1998 | Jalali et al.         |       |          |                               |
|                     | 5,841,768       | 11/1998 | Ozluturk et al.       |       |          |                               |
|                     | 5,844,894       | 12/1998 | Dent                  |       |          |                               |
|                     | 5,845,211       | 12/1998 | Roach                 |       |          |                               |
|                     | 5,854,786       | 12/1998 | Henderson et al.      |       |          |                               |
|                     | 5,856,971       | 01/1999 | Gitlin et al.         |       |          |                               |
|                     | 5,859,840       | 01/1999 | Tiedemann, Jr. et al. |       |          |                               |
|                     | 5,859,879       | 01/1999 | Bolgiano et al.       |       |          |                               |
|                     | 5,872,786       | 02/1999 | Shobatake             |       |          |                               |
|                     | 5,881,060       | 03/1999 | Morrow et al.         |       |          |                               |
|                     | 5,881,368       | 03/1999 | Grob et al.           |       |          |                               |
|                     | 5,884,196       | 03/1999 | Lekven et al.         |       |          |                               |
|                     | 5,894,473       | 04/1999 | Dent                  |       | ļ        |                               |
|                     | 5,896,376       | 04/1999 | Alperovich et al.     |       |          |                               |
|                     | 5,898,929       | 04/1999 | Haartsen              |       |          |                               |
|                     | 5,910,944       | 06/1999 | Callicotte et al.     |       |          |                               |
|                     | 5,910,945       | 06/1999 | Garrison et al.       | ļ     |          |                               |
|                     | 5,914,950       | 06/1999 | Tiedemann, Jr. et al. |       |          |                               |
|                     | 5,923,650       | 07/1999 | Chen et al.           |       |          |                               |
|                     | 5,930,230       | 07/1999 | Odenwalder et al.     |       |          |                               |
|                     | 5,950,131       | 09/1999 | Vilmur                |       |          |                               |
|                     | 5,956,332       | 09/1999 | Rasanen et al.        |       | ļ        |                               |
|                     | 5,959,980       | 09/1999 | Scott                 |       | ļ        |                               |
|                     | 5,966,374       | 10/1999 | Rasanen               |       |          |                               |
|                     | 5,991,279       | 11/1999 | Haugli et al.         |       |          |                               |
|                     | 6,001,800       | 12/1999 | Mehta et al.          |       |          |                               |

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|---------------------|-----------------|---------|----------------------|----------|----------|-------------------------------|
|                     | 6,002,690       | 12/1999 | Takayama et al.      | ļ        |          |                               |
| *                   | 6,005,852       | 12/1999 | Kokko et al.         |          |          |                               |
|                     | 6,005,855       | 12/1999 | Zehavi et al.        |          |          |                               |
|                     | 6,009,106       | 12/1999 | Rustad et al.        |          |          |                               |
|                     | 6,011,800       | 01/2000 | Nadgauda et al.      |          |          |                               |
|                     | 6,016,312       | 01/2000 | Storn et al.         |          |          |                               |
|                     | 6,028,868       | 02/2000 | Yeung et al.         |          |          |                               |
|                     | 6,031,827       | 02/2000 | Rikkinen et al.      | ļ        |          |                               |
|                     | 6,049,535       | 04/2000 | Ozluturk             |          |          |                               |
|                     | 6,049,538       | 04/2000 | Scott                |          |          |                               |
|                     | 6,052,385       | 04/2000 | Kanerva et al.       |          | <u> </u> |                               |
|                     | 6,058,338       | 05/2000 | Agashe et al.        |          |          |                               |
|                     | 6,064,678       | 05/2000 | Sindhushayana et al. |          |          |                               |
|                     | 6,069,880       | 05/1999 | Owen et al.          |          |          |                               |
|                     | 6,069,883       | 05/2000 | Ejzak et al.         |          |          |                               |
|                     | 6,078,572       | 06/2000 | Tanno et al.         |          |          |                               |
|                     | 6,081,536       | 06/2000 | Gorsuch et al.       |          |          |                               |
|                     | 6,088,335       | 07/2000 | l et al.             |          |          |                               |
|                     | 6,094,421       | 07/2000 | Scott                | <u> </u> |          |                               |
|                     | 6,094,576       | 07/2000 | Häkkinen et al.      |          |          |                               |
|                     | 6,097,733       | 08/2000 | Basu et al.          |          |          |                               |
|                     | 6,101,176       | 08/2000 | Honkasalo et al.     | <u> </u> |          |                               |
|                     | 6,104,708       | 08/2000 | Bergamo              |          |          |                               |
|                     | 6,111,863       | 08/2000 | Rostoker et al.      |          |          |                               |
|                     | 6,112,092       | 08/2000 | Benveniste           |          |          |                               |
|                     | 6,134,233       | 10/2000 | Kay                  |          |          |                               |

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|---------------------|-----------------|---------|---------------------|-------|----------|-------------------------------|
|                     | 6,151,332       | 11/2000 | Gorsuch et al.      |       |          |                               |
|                     | 6,157,619       | 12/2000 | Ozluturk et al.     |       |          |                               |
|                     | 6,161,013       | 12/2000 | Anderson et al.     |       |          |                               |
|                     | 6,163,707       | 12/2000 | Miller              |       |          |                               |
|                     | 6,169,731       | 01/2001 | Stewart et al.      |       |          |                               |
|                     | 6,196,362       | 02/2001 | Darcie et al.       |       |          |                               |
|                     | 6,198,723       | 03/2001 | Parruck et al.      |       |          |                               |
|                     | 6,208,871       | 03/2001 | Hall et al.         |       | -        |                               |
|                     | 6,212,175       | 04/2001 | Harsch              |       |          |                               |
|                     | 6,214,342       | 04/2001 | Rege                |       |          |                               |
|                     | 6,215,798       | 04/2001 | Carneheim et al.    |       |          |                               |
|                     | 6,222,828       | 04/2001 | Ohlson et al.       |       |          |                               |
|                     | 6,222,832       | 04/2001 | Proctor             |       |          |                               |
|                     | 6,226,527       | 05/2001 | Dalsgaard et al.    |       |          |                               |
|                     | 6,233,439       | 05/2001 | Jalali              |       |          |                               |
|                     | 6,236,647       | 05/2001 | Amalfitano          |       |          |                               |
|                     | 6,243,372       | 06/2001 | Petch et al.        |       |          |                               |
|                     | 6,246,673       | 06/2001 | Tiedmann et al.     |       |          |                               |
|                     | 6,259,683       | 07/2001 | Sekine et al.       |       |          |                               |
|                     | 6,262,980       | 07/2001 | Leung et al.        |       |          |                               |
|                     | 6,263,013       | 07/2001 | Hendrickson         |       |          |                               |
|                     | 6,269,075       | 07/2001 | Tran                |       |          |                               |
|                     | 6,269,088       | 07/2001 | Masui et al.        |       |          |                               |
|                     | 6,272,168       | 08/2001 | Lomp et al.         |       |          |                               |
|                     | 6,285,665       | 09/2001 | Chuah               |       |          |                               |
|                     | 6,307,840       | 10/2001 | Wheatley III et al. |       |          |                               |

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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | APPLI<br>James A. F                  | _                        |
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| EXAMINER<br>INITIAL | DOCUMENTNUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|----------------|---------|-------------------|-------|----------|-------------------------------|
|                     | 6,310,859      | 10/2001 | Morita et al.     |       |          |                               |
| *                   | 6,320,851      | 11/2001 | Kim et al.        |       |          |                               |
|                     | 6,353,412      | 03/2002 | Soliman           |       |          |                               |
|                     | 6,356,555      | 03/2002 | Rakib             |       |          |                               |
|                     | 6,366,570      | 04/2002 | Bhagalia          |       |          |                               |
|                     | 6,366,786      | 04/2002 | Norman et al.     |       |          |                               |
|                     | 6,370,117      | 04/2002 | Koraitim et al.   |       |          |                               |
|                     | 6,373,830      | 04/2002 | Ozluturk          |       |          |                               |
|                     | 6,373,834      | 04/2002 | Lundh et al.      |       |          |                               |
|                     | 6,377,548      | 04/2002 | Chuah             |       |          |                               |
|                     | 6,377,809      | 04/2002 | Rezaiifar et al.  |       |          |                               |
|                     | 6,388,999      | 05/2002 | Gorsuch et al.    |       |          |                               |
|                     | 6,389,000      | 05/2002 | Jou               |       |          |                               |
|                     | 6,396,804      | 05/2002 | Odenwalder        |       |          |                               |
|                     | 6,418,148      | 07/2002 | Kumar et al.      |       |          |                               |
| *                   | 6,426,960      | 07/2002 | Antonio           |       |          |                               |
|                     | 6,452,913      | 09/2002 | Proctor, Jr.      |       |          |                               |
|                     | 6,456,608      | 09/2002 | Lomp              |       |          |                               |
|                     | 6,469,991      | 10/2002 | Chuah             |       |          |                               |
|                     | 6,473,623      | 10/2002 | Benveniste        |       |          |                               |
|                     | 6,498,785      | 12/2002 | Derryberry et al. |       |          |                               |
|                     | 6,498,790      | 12/2002 | Shaheen et al.    |       |          |                               |
|                     | 6,504,830      | 01/2003 | Östberg et al.    |       |          |                               |
|                     | 6,519,452      | 02/2003 | Agostino et al.   |       |          |                               |
|                     | 6,519,651      | 02/2003 | Dillon            |       |          |                               |
|                     | 6,526,039      | 02/2003 | Dahlman et al.    |       |          |                               |

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| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|---------|-----------------|-------|----------|-------------------------------|
|                     | 6,526,064       | 02/2003 | Bousquet2       |       |          |                               |
|                     | 6,526,281       | 02/2003 | Gorsuch et al.  |       |          |                               |
|                     | 6,532,365       | 03/2003 | Anderson et al. |       |          |                               |
|                     | 6,542,481       | 04/2003 | Foore et al.    |       |          |                               |
|                     | 6,545,986       | 04/2003 | Stellakis       |       |          | ····                          |
|                     | 6,545,994       | 04/2003 | Nelson et al.   |       |          |                               |
|                     | 6,567,416       | 05/2003 | Chuah           |       |          | _                             |
|                     | 6,570,865       | 05/2003 | Masui et al.    |       |          |                               |
|                     | 6,571,296       | 05/2003 | Dillon          |       |          |                               |
|                     | 6,574,211       | 06/2003 | Padovani et al. |       |          |                               |
|                     | 6,597,913       | 07/2003 | Natarajan       |       |          |                               |
|                     | 6,687,509       | 02/2004 | Schmutz et al.  |       |          |                               |
|                     | 6,745,484       | 04/1998 | Scott           |       |          |                               |
|                     | 6,768,727       | 07/2004 | Sourour et al.  |       |          |                               |
|                     | 6,795,416       | 09/2004 | Han et al.      |       |          |                               |
|                     | 6,831,910       | 12/2004 | Moon et al.     |       |          |                               |
|                     | 2001/0038674    | 11/2001 | Trans           |       |          |                               |
|                     | 2002/0012332    | 05/2002 | Tiedmann et al. |       |          |                               |
|                     | 2003/0060224    | 03/2003 | Nelson et al.   |       |          |                               |
|                     | 2003/0123401    | 07/2003 | Dean            |       |          |                               |
|                     | 2004/0009785    | 01/2004 | Nelson et al.   |       |          |                               |
|                     | 2004/0160910    | 08/2004 | Gorsuch et al.  |       |          | _                             |
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|                     |                 |         |                 |       |          |                               |

| 2 Corresponds to FR 2761557 |                 |
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## ATTY. DOCKET NO. SERIAL NO. **FORM PTO-1449** 09/997,733 TAN-2-1403.06.US U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE James A. Proctor, Jr. INFORMATION DISCLOSURE **FILING DATE GROUP** STATEMENT BY APPLICANT November 29, 2001 2619 (Use several sheets if necessary)

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